

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:	) Chapter 15 Case
	)
FAIRFIELD SENTRY LIMITED, et al.,	) Case No. 10-13164 (SMB)
	)
Debtors in Foreign Proceedings.	) Jointly Administered
	)
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION) and	)
FAIRFIELD SIGMA LIMITED (IN LIQUIDATION), acting	)
by and through the Foreign Representatives thereof, and	) Adv. Pro. No. 10-03635
KENNETH KRYS and CHARLOTTE CAULFIELD, solely in	) (SMB)
their capacities as Foreign Representatives and Liquidators	)
thereof,	)
	)
Plaintiffs,	)
-against-	)
	)
ABN AMRO SCHWEIZ AG a/k/a ABN AMRO	)
(SWITZERLAND) AG, ADLER AND CO PRIVATBANK	)
AG, ALLIANZBANK SPA/UNIFORTUNE	)
CONSERVATIVE SIDE POCKET, ALTERNATIVE	)
INVESTMENT STRATEGIES, BANCA ARNER SA,	)
BANCA UNIONE DI CREDITO, BANK HAPOALIM	)
SWITZERLAND LTD., BANK JULIUS BAER & CO. LTD.,	)
BANK SARASIN & CIE, BANQUE CANTONALE	)
VAUDOISE, BANQUE CRAMER & CIE SA, BBVA	)
(SUISSE) SA, BCV AMC DEFENSIVE AL FUND, BNP	)
PARIBAS (SUISSE) SA, BNP PARIBAS (SUISSE) SA EX	)
FORTIS, BNP PARIBAS (SUISSE) SA PRIVATE, BSI AG,	)
BSI EX BANCA DEL GOTTARDO, CACEIS BANK	)
LUXEMBOURG, CBB (BVI)/ THE ALKIMA FUND, CBT	)
GEMS LOW VOL REG, COMPAGNIE BANCAIRE	)
HELVETIQUE, CENTRUM BANK AG (AMS), CLARIDEN	)
LEU LTD., CORNER BANCA SA, CREDIT SUISSE AG	)
ZURICH, DEXIA BANQUE INTERNATIONALE A	)
LUXEMBOURG, DRESDNER BANK SCHWEIZ, EFG	)
BANK SA SWITZERLAND, EFG EUROFINANCIER	)
D'INVEST MCL, ENDURANCE ABSOLUTE LTD.	)
MASTER, FAIRFIELD INVESTMENT GCI, FAIRFIELD	)
INVESTMENT FUND LTD., FALCON PRIVATE BANK,	)
FIF ADVANCED LTD., FINTER BANK ZURICH,	)
HARMONY CAPITAL FUND LTD., HSBC, IHAG	)
HANDELSBANK AG, INCORE BANK AG, KARASEL	)
ENHANCED PORTFOLIO, KARLA MULTISTRATEGIES	)
LTD., LGT BANK IN LIECHTENSTEIN AG,	)

LIECHTENSTEINISCHE LB REINVEST AMS, LLOYDS	)
TSB BANK GENEVA, LOMBARD ODIER DARIER	)
HENTSCH & CIE, LONGBOAT LTD., MASTER CAPITAL	)
AND HEDGE FUND, NATIONAL BANK OF KUWAIT,	)
NBK BANQUE PRIVEE SUISSE SA, PICTET & CIE, PKB	)
PRIVATBANK AG, QUASAR FUNDS SPC a/k/a QUASAR	)
FUND SPC CLASS A AND CLASS B CGCNV, RBC DEXIA	)
INVESTOR SERVICE JULIUS BAER SICAV, RBS	)
COUTTS BANK LTD., RICHOURT AAA	)
MULTISTRATEGIES, ROTHSCHILD BANK AG ZURICH	)
(DUBLIN) a/k/a ROTHSCHILD BANK AG, ROTHSCHILD	)
BANK GENEVA (DUBLIN), ROTHSCHILD LUGANO	)
DUBLIN a/k/a BANCA PRIVATA EDMOND DE	)
ROTHSCHILD LUGANO S.A., EDMOND DE	)
ROTHSCHILD (SUISSE) S.A., SIS SEEGANINTERSETTLE,	)
SIX SIS LTD., SOCIETE GENERALE BANK & TRUST,	)
SOUNDVIEW FUND, SWISSCANTO FD CENTRE	)
CLIENTS A/C, T1 GLOBAL FUND LTD., UBS AG NEW	)
YORK, UBS AG ZURICH, UBS JERSEY NOMINEES,	)
VERWALTUNGS UND PRIVAT-BANK AG	)
AKTIENGESELLSCHAFT (AMS), VORARLBERGER	)
LANDES UND HYPOTHEKENBANK	)
AKTIENGESELLSCHAFT and BENEFICIAL OWNERS OF	)
ACCOUNTS HELD IN THE NAME OF CGC NA 1-1000,	)
	)
	)
Defendants.	)
	)

### **NOTICE OF APPEARANCE**

PLEASE TAKE NOTICE that Cleary Gottlieb Steen & Hamilton LLP, by the undersigned counsel, hereby appears in the above-captioned case pursuant to Rule 9010(b) of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”) as counsel to BNP Paribas (Suisse) SA, BNP Paribas (Suisse) SA Ex Fortis, and BNP Paribas (Suisse) SA Private, pursuant to Bankruptcy Rules 2002, 9007, and 9010 and sections 102(1), 342, and 1109(b) of chapter 11, title 11 of the United States Code (the “Bankruptcy Code”), that all notices given or required to be given in this case and all papers served or required to be served in this case be given to and

served upon the undersigned at the following office address, telephone number and e-mail address:

Thomas S. Kessler  
One Liberty Plaza  
New York, New York 10006  
Tel. (212) 225-2000  
Fax (212) 225-3999  
tkessler@cgsh.com

PLEASE TAKE FURTHER NOTICE that, pursuant to section 1109(b) of the Bankruptcy Code, the foregoing demand includes not only the notices and papers referred to in the Bankruptcy Rules specified above, but also includes, without limitation, orders and notices of any application, motion, petition, pleading, request, complaint or demand, whether formal or informal, whether written or oral, and whether transmitted or conveyed by mail, delivery, telephone, facsimile transmission, e-mail or otherwise, which affect the Debtor, property of the Debtor or “Customer Property” as defined in 15 U.S.C. § 78III(4).

This Notice of Appearance and Request for Service of Papers shall not be deemed to be a waiver of the above-named party's rights (1) to have final orders in noncore matters entered only after *de novo* review by a District Court Judge, (2) to trial by jury in any proceeding so triable in this case or any case, controversy, or proceeding related to this case, (3) to have the District Court withdraw the reference in any matter subject to mandatory or discretionary withdrawal, (4) to any other rights, claims, actions, setoffs, or recoupments to which the above-named parties are or may be entitled, in law or in equity, all of which rights, claims, actions, setoffs, and recoupments the above-named parties expressly reserve, or (5) to any and all defenses or objections the above-named parties may have to the claims asserted against them in this action including, without limitation, any defense based on insufficient service of process,

jurisdiction (including personal jurisdiction), or capacity to be sued.

Dated: January 15, 2020  
New York, New York

CLEARY GOTTlieb STEEN & HAMILTON LLP

By: /s/ Thomas S. Kessler  
Thomas S. Kessler

One Liberty Plaza  
New York, New York 10006  
(212) 225-2000  
(212) 225-3999 (facsimile)  
tkessler@cgsh.com

*Attorney for BNP Paribas (Suisse) SA, BNP Paribas  
(Suisse) SA Ex Fortis, and BNP Paribas (Suisse) SA  
Private*